Liquid Capital Statement

for the month of 28-FEB-22

of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 14-MAR-2022 17:36:49

Page 1 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
	Property & Equipment	30,964,830	30,964,830	
	Intangible Assets	3,500,000		
	Investment in Govt. Securities	3,300,000	3,300,000	
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	III. 10% of the balance sneet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities		40.440.005	27.004.404
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	116,444,674	19,110,235	97,334,439
	ii. If unlisted, 100% of carrying value.	1,473,014	1,473,014	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	0		
	provided that shares have not been alloted or are not included in the investments of securities broker.	00.004.700	00.004.700	
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	39,261,733	39,261,733	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.	4 000 000	4 000 000	
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	4,000,000	4,000,000	
	Margin deposits with exchange and clearing house.	8,803,165	0	8,803,165
	Deposit with authorized intermediary against borrowed securities under SLB.			
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	Dividends receivables.			
	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	55,000	0	55,000
	PLUS			
	ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables	199,926	199,926	
	Receivables from clearing house or securities exchange(s)	199,920	199,920	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	1,123,793	0	1,123,793
	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	20.250.700	0	20.250.70
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	29,350,730		29,350,730
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	53,746,424	49,147,845	49,147,845
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	<u> </u>		
	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	44,810,888		
	ii. Bank balance-customer accounts	100,857,760	0	100,857,760

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for the month of 28-FEB-22

of M/s. FDM Capital Securities (Pvt.)Ltd

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Page 2 Of 3

## Second Property Community Communi				Page	2 01 3
Total Asserts	S.No.	Head of Account			
Total Asserts		iii. Cash in hand	70.192	0	70.192
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Everywhole to each region and cleamants products 10,433,559 0 100,433,55	2	Liabilities			
Expedition to exchanges and clearing houses	2.1	Trade Payables			
Periodic organic lavesinged maked products 100,453,559 0 1					
Current Liabilities LAccusals and other payables 15,446,844 0 1 15,446,844 0 1 15,4		ii. Payable against leveraged market products			
L Statutory and sequency dues II. Account and other propeleds III. Short: teem benowing. IV. Carent III. pointed in control of the control	2.2		100,433,559	0	100,433,559
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ii. Steat - Learn biorosvings in Current portion of bloop term installations of Current Labilities (Current Labilities) (Current La			15 446 844	0	15 446 844
v. Current portion of long term liabilities vi. Description for both debts vi. Description fo			10,440,044		10,440,044
vi. Defend Liabilities vi. Providion for bits dietet vi. Providion for bits dietet vi. Providion for bits alleful. vii. Providion for bits alleful. viii. Control Liabilities li					
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S. Other liabilities as per accounting principles and included in the financial statements			3.846.427	0	3.846.427
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conditions specified by SECP, in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fuffill the conditions specified by SECP 2.5 Total Liabilities 147.785,953 28.059,123 119,726,830 3 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client—to—client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) In the case of right issues: If the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haicrutu multiplied by the underwriting commitments sand (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haicrut multiplied by the net underwriting commitments is greater than the subscription price, 5% of the Haicrut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary currency positions 5% of the net position in foreign currency bestolin			28,059,123	28,059,123	
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5.1		Amount Payable under REPO			
	3.1				

Liquid Capital Statement

for the month of 28-FEB-22

of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 14-MAR-2022 17:36:49

Page 3 Of 3

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	5,062,884	5,062,884
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	384,219	384,219
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	5,447,103	5,447,103
3.12	Liquid Capital	286,876,176	114,151,357	206,379,879